

KYC INFORMATION PRIVATE INDIVIDUAL

Note that prior to the first deposit and additional deposits pertaining to the purchase of units in any of the below funds, this KYC information must be completed and submitted in original together with the documents specified below.

| | | |
|---|---|---|
| Full name (Unitholder) | | Civic registration number |
| Registered address | | Daytime telephone number (Including area code) |
| Postal code | City | Fax/E-mail |
| Country (Mandatory if other than Sweden) | Place of birth (Mandatory if other than Sweden) | Country of birth (Mandatory if other than Sweden) |
| Tax domicile (Mandatory if other than Sweden) | TIN (Mandatory if other than Sweden) | Date of birth |
| <p>The form "Self-certification foreign tax status individual" must be appended to this KYC-information form. (Please see separate form on catella.se/fonder)</p> | | |

| |
|---|
| <p>Payment account for redemption (IBAN No, BIC-code/SWIFT) Mandatory field</p> <p>The account must belong to the unit holder, applies for children as well</p> |
|---|

| |
|---|
| <p><input type="checkbox"/> Yes please, I would like to receive a year-end/half-yearly report for the fund/funds by e-mail (Does not apply for statements that always will be received)</p> <p><input type="checkbox"/> Yes please, I would like to receive a year-end/half-yearly report for the fund/funds by mail (Does not apply for statements that always will be received)</p> |
|---|

MANDATORY QUESTIONS TO BE COMPLETED

| | |
|---|-------------------------|
| <p>Pursuant to the Swedish Act on Measures against Money Laundering and Terrorist Financing (2017:630), the following customer information must be fully and correctly filled in before subscription of units can occur.</p> | |
| <p>1. On whose behalf are you saving/investing?</p> | |
| | On behalf of yourself |
| | Other (Please specify): |

KYC INFORMATION PRIVATE INDIVIDUAL

2. Where did the money you plan to save/invest come from? Several alternatives may be selected

| | |
|--------------------------|------------------------|
| <input type="checkbox"/> | Savings/placements |
| <input type="checkbox"/> | Salary |
| <input type="checkbox"/> | Sale of property |
| <input type="checkbox"/> | Inheritance/gift |
| <input type="checkbox"/> | Pension |
| <input type="checkbox"/> | Investments |
| <input type="checkbox"/> | Sale of a company |
| <input type="checkbox"/> | Other, please specify: |

3. Approximately how often do you plan to conduct transactions in Catella's funds?

| | |
|--------------------------|-------------------------|
| <input type="checkbox"/> | Several times per month |
| <input type="checkbox"/> | Once per month |
| <input type="checkbox"/> | Several times per year |
| <input type="checkbox"/> | Once per year |
| <input type="checkbox"/> | More seldom |

4. Approximately how much do you expect your yearly saving in Catella's funds to amount to?

| | |
|--------------------------|-------------------------|
| <input type="checkbox"/> | < SEK 10,000 |
| <input type="checkbox"/> | SEK 10,000 – 100,000 |
| <input type="checkbox"/> | SEK 100,000 – 1,000,000 |
| <input type="checkbox"/> | > SEK 1,000,000 |

5. Do you hold, or have you in the past 18 months held, any of the following positions in Sweden or abroad?

| | |
|--------------------------|---|
| <input type="checkbox"/> | Head of state or head of government, minister, deputy minister or assistant minister |
| <input type="checkbox"/> | Member of parliament |
| <input type="checkbox"/> | Supreme court judge, constitutional court judge or judge in another high-level body |
| <input type="checkbox"/> | High-ranking salaried employee at a government auditing authority or Board member of a central bank |
| <input type="checkbox"/> | Ambassador, diplomatic envoy or high-ranking officer |
| <input type="checkbox"/> | Management of an international body |
| <input type="checkbox"/> | Any other position in a state-owned company's administrative, management or supervisory body |
| <input type="checkbox"/> | I am/have been a well known co-worker or other business relationship to a person above |
| <input type="checkbox"/> | No |

KYC INFORMATION PRIVATE INDIVIDUAL

6. Do any of the following of your closely related persons now hold, or have they held in the past 18 months, any of the positions listed in the question above, in Sweden or abroad?

| | |
|--|--|
| | Spouse |
| | Partner of the same status as a spouse in accordance with national law |
| | Children and their spouses or partners |
| | Parents |
| | A well known colleague or other business connection |
| | No, no one |

INFORMATION

CLIENT DUE DILIGENCE:

Catella Fondförvaltning AB's ("the Company") is, pursuant to the Swedish Anti-money Laundering Act (2017:630) obliged to take measures for client due diligence before entering into a business relationship with a client. New clients must consequently, prior to their first investment in any of the funds, complete this KYC-information and submit it to the Company along with the documents specified below. Before such documents and any supplementary information that the Company deems necessary has been provided to the Company, it is not obligated to process investments. The Company may also need to obtain supplemental information during the term of the business relationship.

FUND INFORMATION:

Fund rules, information brochures and fact sheets are available at catella.com/funds or at the address provided below. Historical return does not provide a guarantee for future returns. The money invested in the funds can both increase and decrease in value, and there is no guarantee that you will recoup the entire deposited amount.

PRIVATE INDIVIDUALS:

KYC-information and a certified* copy of a valid piece of identification must be attached. Individuals who are not registered in the Swedish national register must also enclose a certified* copy of a utility bill (not older than three months), meaning a telephone bill, water bill, electricity bill, bank certificate or similar and the form "Self-certification foreign tax status individual". If subscription is made on behalf of another party than yourself, documents (e.g. a power attorney) evidencing your authority must be submitted along with this application including a certified* copy of a valid piece of identification.

GUARDIAN:

For minors whose parents have joint custody, certified* copies of both parents' identification must be enclosed. The KYC-information must be signed by both guardians. If a parent has sole custody, only a certified* copy of that parent's identification must be enclosed. Documentation must be enclosed to verify sole custody.

RESIDING/REGISTERED IN THE US:

The Company does not offer investors who reside in the US to become customers with the Company. Investors who wish to open a fund account or customers on whose behalf a fund account is opened are obligated to inform the Company immediately if they meet any of the above criteria. If, after the opening of a fund account, a customer takes up residence in the US the Company is entitled to immediately redeem the customer's participations and close his/her fund account. The Company is not responsible for any losses or damages arising as a result of such immediate redemption.

COMPLAINTS:

The customer shall immediately inform the Company of any errors or deficiencies arising in a transaction note, any missed transaction notes or any other errors or deficiencies arising in the execution of the assignment. If a complaint is not submitted immediately, the customer loses the right to demand compensation or to demand that other measures be taken by the Company.

Catella Fondförvaltning AB, PO Box 7328, SE-103 90 Stockholm, Sweden. Tel: +46 8 614 25 20 Fax: +46 8 611 01 30
E-mail: fonder@catella.se Registered office: Stockholm Corp. Reg. No.: 556533-6210 catella.com/funds

KYC INFORMATION PRIVATE INDIVIDUAL

SIGNATURE:

I/we affirm honestly that the above is accurate and true.

I/we affirm that all of the information contained in this document that is significant in terms of taxation, the application of statutory requirements governing the liability to supply information and other matters are accurate, and I/we undertake to notify the Company of any changes to said information without delay.

I/we affirm that I/we have read, understand and accept the fund rules, the information in the prospectus and fact sheet for the fund.

I/we attach a certified* copy of a valid piece of identification. Individuals who are not registered in the Swedish national register must also enclose a certified* copy of a utility bill (not older than three months) and the form "Self-certification foreign tax status individual".

I/we also confirm that I/we have received the information about the Company's personal data processing in "Catella Fondförvaltning AB privacy policy" and that I/we are aware of the rights I/we have as registered.

I/we accept that the Company will rely on the information submitted in the KYC-information and authorise the Company to utilise this information if so requested by a government authority. Furthermore, I/we are aware that the Company does not provide financial advisory services and also confirm that I/we have not instructed the Company to provide such advisory services.

| | | | |
|------------|------------------------|-----------------------|--------------------------|
| City, Date | Signature | Name in block letters | |
| City, Date | Signature (Guardian 1) | Name in block letters | Personal identity number |
| City, Date | Signature (Guardian 2) | Name in block letters | Personal identity number |

Please note that a separate form "Self-certification foreign tax status individual" always must be completed and submitted by new clients.

***Note that, in addition to his/her signature, the person who certifies that the requested copies correspond with the original (certifies) must also write his/her name in block letters, address and telephone number including date on the copy.**