

Sustainability information Catella's funds

CATELLA

	INVESTMENT FUNDS				SPECIAL FUNDS		
	Catella Avkastningsfond	Catella Småbolagsfond	Catella Sverige Aktiv Hållbarhet	Catella Sverige Hållbart Beta	Catella Balanserad	Catella Credit Opportunity	Catella Hedgefond
TRANSPARENCY ON THE INTEGRATION OF SUSTAINABILITY RISKS, ON THE PROMOTION OF ENVIRONMENTAL OR SOCIAL CHARACTERISTICS, AND ON SUSTAINABLE INVESTMENT							
The fund aims for sustainable investment	–	–	–	–	–	–	–
The fund promotes, among other things, environmental or social characteristics	X	X	X	X	X	X	X
Sustainability risks are integrated into investment decisions, without the fund promoting environmental or social characteristics or having sustainable investment as a goal	–	–	–	–	–	–	–
Sustainability risks are not relevant (explanation below)	–	–	–	–	–	–	–
SUSTAINABILITY-RELATED CHARACTERISTICS PROMOTED IN THE MANAGEMENT OF THE FUND, OR WHICH IS PART OF THE FUND'S OBJECTIVE							
Environmental aspects (eg companies' impact on the environment and climate).	X	X	X	X	X	X	X
Social aspects (eg human rights, labor rights and equal treatment).	X	X	X	X	X	X	X
Corporate governance aspects (eg shareholders' rights, issues of remuneration to senior executives and anti-corruption).	X	X	X	X	X	X	X
Other sustainability aspects	–	–	–	–	–	–	–
The fund company's comment	See below under <i>Methods</i>	See below under <i>Methods</i>	See below under <i>Methods</i>	See below under <i>Methods</i>	See below under <i>Methods</i>	See below under <i>Methods</i>	See below under <i>Methods</i>
REFERENCE VALUES:							
The fund has the following index as a reference value:	50% OMRX T-Bill + 50% OMRX T-Bond	Carnegie Small Cap Return	SIX RX	SIX RX	15% SIX RX, 35% MSCI AC World, 25% OMRX T-Bill, 25% OMRX T-Bond	OMRX Tbill	OMRX Tbill
No index has been selected as the reference value	–	–	–	–	–	X	X
The fund company's comment	Indexes are used to measure the Fund's performance but do not meet ESG targets.	Indexes are used to measure the Fund's performance but do not meet ESG targets.	Indexes are used to measure the Fund's performance but do not meet ESG targets.	Indexes are used to measure the Fund's performance but do not meet ESG targets.	Indexes are used to measure the Fund's performance but do not meet ESG targets.	Indexes are not used for anything other than the calculation of performance-based fees.	Indexes are not used for anything other than the calculation of performance-based fees.
METHODS USED TO INTEGRATE SUSTAINABILITY RISKS, PROMOTE ENVIRONMENTAL OR SOCIAL PROPERTIES OR TO ACHIEVE SUSTAINABILITY RELATED GOAL							
The fund chooses:	X	X	X	–	X	X	X
The fund company's comment	In general, we are looking for issuers who are driven by sustainability and can also demonstrate attractive financial qualities, a sustainable business model, a clear repayment ability.	The fund focuses on 4 of the UN's 17 sustainability goals: Health and Safety, Energy efficiency, Sustainable production / consumption, Counteracting negative environmental impact.	The fund focuses on 4 of the UN's 17 sustainability goals: Health and Safety, Energy efficiency, Sustainable production / consumption, Counteracting negative environmental impact.	The fund completely avoids sectors and products that stand in sharp contrast to our themes for sustainability-driven investments, see The fund opts out below.	The fund is a mutual fund. Sustainability aspects are taken into account in the selection of all underlying fund holdings.	In general, we are looking for issuers who are driven by sustainability and can also demonstrate attractive financial qualities, a sustainable business model, and a clear repayment ability.	The fund focuses on 4 of the UN's 17 sustainability goals: Health and Safety, Energy efficiency, Sustainable production / consumption, Counteracting negative environmental impact.
The fund excludes:							
The fund does not invest in companies that are involved in the following products and services. A maximum of five percent of the turnover in the company where the investment takes place may refer to activities that are attributable to the specified product or service.							
Products and services							
Cluster bombs, anti-personnel mines	X	X	X	X	X	X	X
Chemical and biological weapons	X	X	X	X	X	X	X
Nuclear weapons	X	X	X	X	X	X	X

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Weapons and/or defence materiel	X	X	X	X	X	X	X
Alcohol	X	X	X	X	X	X	X
Tobacco	X	X	X	X	X	X	X
Commercial gambling activities	X	X	X	X	X	X	X
Pornography	X	X	X	X	X	X	X
Fossil fuels (oil, gas, coal)	X	X	X	X	X	X	X
Uranium	-	-	-	-	-	-	-
Genetically modified organisms (GMOs)	-	-	-	-	-	-	-
Other	-	-	-	-	-	-	-
International norms							
The fund does not invest in companies that violate international standards.	X	X	X	X	X	X	X
The fund does not invest in companies that do not take action to come to correct identified problems or where the fund deems that the companies will not remedy the problems for a period that the fund company deems reasonable in the individual case.	-	-	-	-	-	-	-
Countries							
For sustainability reasons the fund does not invest in companies involved with certain countries/fixed income securities issued by certain nations.	-	-	-	-	-	-	-
Other	-	-	-	-	-	-	-
THE FUND MANAGEMENT COMPANY INFLUENCES							
The fund management company exercises its rights to influence companies on sustainability issues.							
<i>he fund management company maintains contact with companies in order in order to influence them in a more sustainable direction.</i>							
Influences companies independently	X	X	X	X	-	X	X
Influences companies in cooperation with other investors	-	X	X	X	-	-	X
Company influence through external suppliers / consultants	-	-	-	-	-	-	-
Votes at general meetings	-	X	X	X	-	-	X
Participates in nomination committees to influence the composition of the board	-	X	X	X	-	-	X
Other company influences	-	-	-	-	X	-	-
The fund company's comment	-	-	-	-	Can be done indirectly through a dialogue with the manager of the underlying funds.	-	-