



Stockholm, 4 September 2018

Dear client,

**Taking the next step in sustainability!**

Catella became a signatory to the UN Principles for Responsible Investment in 2009, and has since then increasingly focused on sustainability issues in its fund management. We are now taking a further step with Catella Sverige Index.

The fund was launched in 1998 as an index tracker fund designed to closely mirror the SIX RX index and thereby reflect the market development of companies on the Stockholm Stock Exchange. Since then the fund has generated over 10 percent in average annual returns until 31 August 2018. We are now implementing changes aimed at developing the fund into an index tracker fund that includes sustainability selection criteria, meaning that we will not invest in certain companies due to sustainability considerations.

The investment policy will therefore be partially amended to give sustainability criteria a more specific position in the fund's investment process.

On 3 September 2018, Catella Fondförvaltning AB received permission from the Swedish Financial Supervisory Authority to change the fund's name to **Catella Sverige Hållbart Beta** from 17 September 2018 and to amend the fund rules to reflect and clarify the fund's investment policy, which will take into account sustainability criteria in identifying sustainable investments/companies. In future, fund assets may not be invested in companies that violate any of the 10 principles of the UN Global Compact. These changes will not affect the fund's overall return target.

We thank you for placing your trust in us!

If you have any questions about this, please feel free to contact us at [sales@catella.se](mailto:sales@catella.se) or +46 8 614 25 00. Additional information about Catella Sverige Hållbart Beta and our other funds is available on our website: [www.catella.se/fonder](http://www.catella.se/fonder).

Kind regards,

Catella Fondförvaltning AB

A handwritten signature in blue ink, appearing to read "Erik Kjellgren".

Erik Kjellgren

CEO



### **Changes to Catella Sverige Index fund rules:**

- Change of name to Catella Sverige Hållbart Beta.
- The fund's selection of companies is also restricted based on negative criteria, meaning that the fund does not invest in companies that violate any of the 10 principles of the UN Global Compact.
- The fund's holdings are reviewed twice annually and companies that meet negative criteria will be divested immediately.
- Investments may be made in financial indexes to manage fund flows and liquidity. These indexes must be at least 90 percent comprised of underlying companies that comply with the UN Global Compact's 10 principles.
- Amendment to §9 regarding sale and redemption of Class C and Class D units, both including conditions applying to distribution.

The above changes take effect on 17 September 2018, i.e. 14 days after the Swedish Financial Supervisory Authority's approval decision.